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12-18-01 A

PTO/SB/05 (03)

Approved for use through 10/31/2002. OMB 0651-0032
U.S. Patent and Trademark Office; U.S. DEPARTMENT OF COMMERCE

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12/13/01

UTILITY PATENT APPLICATION TRANSMITTAL

(Only for new nonprovisional applications under 37 CFR 1.53(b))

Attorney Docket No. 9236

First Inventor DR ALLEN MAXWELL DONN

Title TRANSPARENT HIGH IMPACT ALLOY

Express Mail Label No. EK839285362US

APPLICATION ELEMENTS

See MPEP chapter 600 concerning utility patent application contents.

- Fee Transmittal Form (e.g., PTO/SB/17)
(Submit an original and a duplicate for fee processing)
- Applicant claims small entity status.
See 37 CFR 1.27.
- Specification [Total Pages 37]
(preferred arrangement set forth below)
 - Descriptive title of the invention
 - Cross Reference to Related Applications
 - Statement Regarding Fed sponsored R & D
 - Reference to sequence listing, a table, or a computer program listing appendix
 - Background of the Invention
 - Brief Summary of the Invention
 - Brief Description of the Drawings (If filed)
 - Detailed Description
 - Claim(s)
 - Abstract of the Disclosure
- Drawing(s) (35 U.S.C. 113) [Total Sheets 0]
- Oath or Declaration [Total Pages 37]
 - a. Newly executed (original or copy)
 - Copy from a prior application (37 CFR 1.63 (d)) (for continuation/divisional with Box 18 completed)
- DELETION OF INVENTOR(S)**
Signed statement attached deleting inventor(s) named in the prior application, see 37 CFR 1.63(d)(2) and 1.33(b).
- Application Data Sheet. See 37 CFR 1.76

ADDRESS TO: Assistant Commissioner for Patents
Box Patent Application
Washington, DC 20231

7. CD-ROM or CD-R in duplicate, large table or Computer Program (Appendix)
8. Nucleotide and/or Amino Acid Sequence Submission (if applicable, all necessary)
 - a. Computer Readable Form (CRF)
 - b. Specification Sequence Listing on:
 - i. CD-ROM or CD-R (2 copies); or
 - ii. paper
 - c. Statements verifying identity of above copies

ACCOMPANYING APPLICATION PARTS

9. Assignment Papers (cover sheet & document(s))
10. 37 CFR 3.73(b) Statement Power of Attorney (when there is an assignee)
11. English Translation Document (if applicable)
12. Information Disclosure Statement (IDS)/PTO-1449 Copies of IDS Citations
13. Preliminary Amendment
14. Return Receipt Postcard (MPEP 503) (Should be specifically itemized)
15. Certified Copy of Priority Document(s) (if foreign priority is claimed)
16. Nonpublication Request under 35 U.S.C. 122 (b)(2)(B)(i). Applicant must attach form PTO/SB/35 or its equivalent.
17. Other: Unsigned Declaration/Express Mail Certificate

18. If a CONTINUATING APPLICATION, check appropriate box, and supply the requisite information below and in a preliminary amendment, or in an Application Data Sheet under 37 CFR 1.76:

Continuation Divisional Continuation-in-part (CIP)

of prior application No. _____

Prior application information

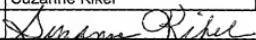
Examiner: _____

Group Ad Unit: _____

For CONTINUATION OR DIVISIONAL APPS only: The entire disclosure of the prior application, from which an oath or declaration is supplied under Box 5b, is considered a part of the disclosure of the accompanying continuation or divisional application and is hereby incorporated by reference. The incorporation can only be relied upon when a portion has been inadvertently omitted from the submitted application parts.

19. CORRESPONDENCE ADDRESS

<input type="checkbox"/> Customer Number or Bar Code Label	Insert Customer No. or Attach Bar code label here			<input type="checkbox"/>	Correspondence address below
Name	Suzanne Kikel				
Address	NOVA Chemicals Inc. 400 Frankfort Road				
City	Monaca	State	PA	Zip Code	15061
Country	USA	Telephone	(724)770-4339		Fax (724)770-5601

Name (Print/Type)	Suzanne Kikel	Registration No. (Attorney/Agent)	28,230
Signature			
Date	December 13, 2001		

Burden Hour Statement. This form is estimated to take 0.2 hours to complete. Time will vary depending upon the needs of the individual case. Any comments on the amount of time you are required to complete this form should be sent to the Chief Information Officer, U.S. Patent and Trademark Office, Washington, DC 20231. DO NOT SEND FEES OR COMPLETED FORMS TO THIS ADDRESS. SEND TO: Assistant Commissioner for Patents, Box Patent Application, Washington, DC 20231.

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FEE TRANSMITTAL for FY 2002

Patent fees are subject to annual revision.

TOTAL AMOUNT OF PAYMENT (\$)

METHOD OF PAYMENT

- The Commissioner is hereby authorized to charge indicated fees and credit any overpayments to:

Deposit Account Number	501679
Deposit Account Name	

Charge Any Additional Fee Required
Under 37 CFR 1.18 and 1.17

Applicant claims small entity status
See 37 CFR 1.27

Payment Enclosed:

- Check Credit card Money Order Other

FEES CALCULATION**1. BASIC FILING FEE**

Large Entity Small Entity

Fee Code (\$)	Fee Code (\$)	Fee Description	Fee Paid
101	740	201 370 Utility filing fee	740.00
106	330	208 165 Design filing fee	
107	510	207 255 Plant filing fee	
108	740	208 370 Reissue filing fee	
114	160	214 80 Provisional filing fee	

SUBTOTAL (1) (\$) 740.00**2. EXTRA CLAIM FEES**

Total Claims	28	Fee from Extra Claims	Fee Paid
Independent Claims	1	-20* = 8 X 18.00 = 144.00	
Multiple Dependent		-3** = 0 X 0.00 = 0.00	
			0.00 = 0.00

Large Entity	Small Entity	Fee Description
Fee Code (\$)	Fee Code (\$)	Fee Description
103	18	203 9 Claims in excess of 20
102	84	202 42 Independent claims in excess of 3
104	280	204 140 Multiple dependent claim, if not paid
109	84	209 42 ** Reissue independent claims over original patent
110	18	210 9 ** Reissue claims in excess of 20 and over original patent

SUBTOTAL (2) (\$) 884.00

*or number previously paid, if greater; For Reissues, see above

Complete if Known

Application Number	
Filing Date	
First Named Inventor	DR. ALLEN MAXWELL DONN
Examiner Name	
Group Art Unit	
Attorney Docket No.	9236

FEES CALCULATION (continued)**3. ADDITIONAL FEES**

Large Entity	Small Entity	Fee Description	Fee Paid
Fee Code (\$)	Fee Code (\$)	Fee Description	
105	130	205 65 Surcharge - late filing fee or oath	
127	50	227 25 Surcharge - late provisional filing fee or cover sheet	
139	130	139 130 Non-English specification	
147	2,520	147 2,520 For filing a request for ex parte reexamination	
112	920*	112 920* Requesting publication of SIR prior to Examiner action	
113	1,840*	113 1,840* Requesting publication of SIR after Examiner action	
115	110	215 55 Extension for reply within first month	
116	400	216 200 Extension for reply within second month	
117	920	217 460 Extension for reply within third month	
118	1,440	218 720 Extension for reply within fourth month	
128	1,960	226 980 Extension for reply within fifth month	
119	320	219 160 Notice of Appeal	
120	320	220 160 Filing a brief in support of an appeal	
121	280	221 140 Request for oral hearing	
138	1,510	138 1,510 Petition to institute a public use proceeding	
140	110	240 55 Petition to revive - unavoidable	
141	1,280	241 640 Petition to revive - unintentional	
142	1,280	242 640 Utility issue fee (or reissue)	
143	400	243 230 Design issue fee	
144	620	244 310 Plant issue fee	
122	130	122 130 Petitions to the Commissioner	
123	50	123 50 Processing fee under 37 CFR 1.17(q)	
126	180	126 180 Submission of Information Disclosure Stmt	
581	40	581 40 Recording each patent assignment per property (times number of properties)	
146	740	246 370 Filing a submission after final rejection (37 CFR § 1.12(a))	
149	740	249 370 For each additional invention to be examined (37 CFR § 1.129(b))	
179	740	279 370 Request for Continued Examination (RCE)	
169	900	169 900 Request for expedited examination of a design application	

Other fee (specify) _____

SUBTOTAL (3) (\$)

*Reduced by Basic Filing Fee Paid

SUBMITTED BY

Name (Print/Type)	Suzanne Kikel	Registration No. (Attorney/Agent)	28230	Telephone	(703) 770-4339
Signature	<i>Suzanne Kikel</i>				

Complete if applicable

WARNING: Information on this form may become public. Credit card information should not be included on this form. Provide credit card information and authorization on PTO-2038.

Burdens Hour Statement: This form is estimated to take 0.2 hours to complete. Time will vary depending upon the needs of the individual case. Any comments on the amount of time you are required to complete this form should be sent to the Chief Information Officer, U.S. Patent and Trademark Office, Washington, DC 20231. DO NOT SEND FEES OR COMPLETED FORMS TO THIS ADDRESS. SEND TO: Assistant Commissioner for Patents, Washington, DC 20231.

Patent

IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

In Re Application of:

) Examiner: Unknown

DONN, Dr. Allen Maxwell
COOPER, Richard Albert

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)  
)  
)  
)  
) Art Unit: Unknown  
)  
) Attorney Docket No.  
9236
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Entitled:

Transparent High Impact Alloy

LETTER

NOVA Chemicals Inc.
400 Frankfort Road
Monaca, PA 15061
December 13, 2001

Assistant Commissioner for Patents
Box Patent Application
Washington, D.C. 20231

Please file the enclosed application in the United States Patent and Trademark Office. Enclosed are the following:

1. Transmittal letter.
 2. Utility Patent Application Transmittal.
 3. Fee Transmittal Sheet in Duplicate.
 4. Application including Description and Claims
 5. Unsigned Declaration including Inventorship Information.
 6. Express Mail Certificate.
 7. Post Card.

Respectfully submitted,

Suzanne Kikel
Agent for Applicants
Reg. No. 28,230
724 770-4339

NON-PROVISIONAL APPLICATION FOR PATENT

APPLICANT: Dr. Allen Maxwell Donn
Richard Albert Cooper

TITLE: TRANSPARENT HIGH IMPACT ALLOY

DOCKET NO.: 9236

EXPRESS MAIL CERTIFICATE

DATE OF DEPOSIT:
EXPRESS MAIL NO.:

Dec 13 2001
EK839285362US

I HEREBY CERTIFY THAT THIS CORRESPONDENCE IS BEING DEPOSITED IN THE UNITED STATES POSTAL SERVICE AS EXPRESS MAIL "POST OFFICE TO ADDRESSEE" BEFORE 5:00 P.M. ON THE ABOVE DATE IN AN ENVELOPE ADDRESSED TO ASSISTANT COMMISSIONER FOR PATENTS, WASHINGTON, D.C. 20231.

MAILED BY:

DATE: Dec 13, 2001